

U.S. Application No.  
09/869,617

International Application No.  
PCT/HU99/00093

Docket No.  
GODOL2.001APC

Date: August 26, 2003

Page 1  
09/869617

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 26, 2003

(Date)

*James W. Ausley*

James W. Ausley, Reg. No. 49,076

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371**

International Application No. : PCT/HU99/00093  
International Filing Date : December 6, 1999  
Priority Date Claimed : October 6, 1999  
Title of Invention : OBJECTIVE LENS SYSTEM  
Applicant for DO/EO/US : Peter Kallo

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- (X) Request for Filing Receipt
- (X) Copy of Applicant's Response to the Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US).
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A return prepaid postcard.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

By:

*James W. Ausley*

James W. Ausley  
Registration No. 49,076  
Agent of Record  
Customer No. 20,995  
(909) 781-9231

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Kallo  
U.S. Appl. No. : 09/869,617  
PCT Appl. No. : PCT/HU99/00093  
U.S. Filing Date : June 28, 2001  
International Filing Date : December 6, 1999  
For : OBJECTIVE LENS SYSTEM

Examiner : Unknown  
Group Art Unit : Unknown

**REQUEST FOR FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, VA 22313-1450

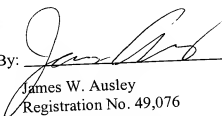
Dear Sir:

Our file for the subject application reveals that we have not yet received the Official Filing Receipt. Please forward to us a copy of the Filing Receipt. Please find enclosed a copy of documents as filed January 2, 2002 in response to the Notification of Missing Requirements.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 8/25/03

By:   
James W. Ausley  
Registration No. 49,076  
Agent of Record  
Customer No. 20,995  
(909) 781-9231

# UTILITY/DESIGN PATENT

(Missing Parts)

Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail:

Atty. Dkt. # GODOL2.001APC

A/N: 09/869,617

Filed: 6/28/01

Applicant: Kallo

Atty: MHT/JWA

Title: OBJECTIVE LENS SYSTEM

VERIFIED BY: Asst: Law

Quality Control:

☒ Transmittal Letter in Duplicate

☐ Preliminary Amendment in      pgs.

☒ Copy of Notice of Missing Requirements

☐ Copy of Original Specification and Drawings

☒ Decl. and Power of Attorney

☐ Decl. by Inventor(s)

☒ Power of Atty. by Assignee; Copy of Assignment

☒ Ext. of Time Requested

☒ Small Entity Statement(s)

☐ Letter Requesting Refund in dupl.

☒ \$ 525.00 Check for Filing Fee

☐ Information Disclosure Statement; PTO 1449 w/      Ref(s).

☐

☒ Return Postcard

7/96

**COPY**

5999

## KNOBBE MARTENS OLSON & BEAR

PATENT ACCOUNT  
620 NEWPORT CENTER DR., 16TH FLOOR  
NEWPORT BEACH, CA 92660

11-24 1147  
1210

PAY  
TO THE  
ORDER OF COMMISSIONER OF PATENTS

DATE 1-2-02

\$ 525.00

Five hundred twenty five and 00/100

DOLLARS



Wells Fargo Bank, N.A.  
www.wellsfargo.com

FOR 09/869,617 GODOL2.001APC

Missing Parts

⑈005999⑈ ⑆121000248⑆4159335140⑈

Laurel A. Walker

INCOMING  
U.S. PATENT DOCKETING CHECKLIST  
RETURN POSTCARD FOR MISC PATENT FILING

CLIENT CODE: GODOL2.001APC

CHECKED BY: R. Samuel DATE: 2/18/02

Staple the postcard in the blank space provided below.

**JC03 Rec'd PCT/PTO** **23 JAN 2002**

**UTILITY/DESIGN PATENT** DATE: 1/2/02  
(Missing Parts) DATE OF O.A.: 8/2/01  
Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail:  
Atty. Dkt. # GODOL2.001APC A/N: 09/869,617 Filed: 6/28/01  
Applicant: Kallo Atty: MHT/JWA

Title: OBJECTIVE LENS SYSTEM  
VERIFIED BY: Asst: law Quality Control: \_\_\_\_\_  
☒ Transmittal Letter in Duplicate ☐ Preliminary Amendment in \_\_\_\_\_ pgs.  
☒ Copy of Notice of Missing Requirements ☐ Copy of Original Specification and Drawings

☐ Decl. and Power of Attorney  
☐ Decl. by Inventor(s)  
☒ Power of Atty. by Assignee; Copy of Assignment  
☒ Ext. of Time Requested  
☒ Small Entity Statement(s)  
☐ Letter Requesting Refund in dupl.  
☒ \$ 525.00 Check for Filing Fee  
☐ Information Disclosure Statement; PTO 1449 w/ \_\_\_\_\_ Ref(s).  
☐ \_\_\_\_\_

☒ Return Postcard

**7/96**

**PTO**  
**JAN 23 2002**  
**PATENT & TRADEMARK OFFICE**

USE THE FOLL

Y = YES

N = NO

√ = NON-APPLICABLE

**COPY**

In the Patent Management System:

☒ Click on the "Country Applications Data" tab. At "App.No." type the application number, then click on the "Start Search" tab. Verify the number of records.

☒ Click on the "List of Actions" tab and look for an action such as "Postcard Recvd?/\*."

☒ Click on the ellipse button to the right of the action. Verify you have retrieved the correct "Action Type" by comparing the "Action Base Date" against the "Date" on the postcard as well comparing the marked boxes on the postcard against the remarks in the Action.

☒ In the "Taken" field of the "Postcard Recvd?/\*" Action, type the date the postcard was received at KMOB.

☒ Click on the "Save" tab. Click on the "Exit" tab.

Print the Face Sheet:

☒ Double check your work.

☒ Place the documents on your shelf for audit trail check.

U.S. Application No.  
09/869617

International Application No.  
HU99/00093

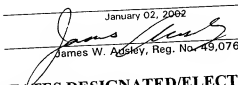
Attorney Docket No.  
**GODOL2.001APC**

Page 1

Date: January 2, 2002

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

January 02, 2002

  
James W. Ardsley, Reg. No. 49,076

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED  
OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371**

International Application No.: HU99/00093  
International Filing Date: December 6, 1999  
Priority Date Claimed: October 28, 1999  
Title of Invention: OBJECTIVE LENS SYSTEM  
Applicant(s) for DO/EO/US: Péter Kalló

**COPY**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- (X) Copy of Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) dated August 02, 2001.
- (X) An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- (X) A power of attorney and/or address letter.
- (X) An extension of time to respond for 3 months is hereby requested.

Time Extension Fee:

- ☐ one month (\$55 small entity)
- ☐ two months (\$200 small entity)
- ☒ three months (\$460 small entity)

- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A return prepaid postcard.
- (X) The fee of \$65 for submission of the Declaration after 30 months from the priority under 37 C.F.R. 1.492(e).

U.S. Application No.  
09/869617

International Application No.  
HU99/00093

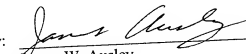
Attorney Docket No.  
**GODOL2.001APC**

Date: January 2, 2002

Page 2

- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

By:

  
James W. Ausley

Registration No. 49,076

Customer No. 20,995

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010202

**COPY**

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☒ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.  | <input type="checkbox"/> Indication of Small Entity Status.                         |
| <input checked="" type="checkbox"/> Copy of the international application.  | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.         |
| <input type="checkbox"/> Copy of Article 19 amendments.   | <input type="checkbox"/> Other:   |
| <input type="checkbox"/> Priority Document.   |   |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.     |   |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. |   |

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/911.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed: ☐ PCT/DO/EO/917  
☐ PTO-875

☐ Notice of Defective Translation  
☐ PCT/DO/EO/920

John Anderson

Telephone: 703-308-9116

FORM PCT/DO/EO/905 (March 2001)